

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 2001 PCT/US01/46344 November 8. November TITLE OF INVENTION Improved Ophthalmic and Contact Lens Solutions Containing Simple Saccharides As Preservative APPLICANT(S) FOR DO/EO/US <u>Francis X.</u> Smith Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. X is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. L Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🗀 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3



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U.S. APPLIC	0/5/	1151	INTERNATIONAL AF	PPLICATION NO.	ATTORNEY'S DOC	KET NUMBER
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	ns or informati					
The following	ng fees have b	een submitted		<del> </del>	CALCULATIONS	PTO USE ONLY
21. 🙀 Basic national fee (37 CFR 1.492(a))				\$300	\$ 300.00	
22. Examination fee (37 CFR 1.492(c))						
If the written opinion by IPEA/US All other situations	indicates all c	\$ 200.00				
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 500.00	
TOTAL OF 21, 22 and 23 =					1,000,00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets Ex	tra Sheets	Sheets Number of each additional 50 or fraction thereof (round up to a whole number)				
- 100 =	/50 =	) =		x \$250	\$ -0-	
			th fee, examination fee, or the ge (37 CFR 1.492(h)).	oath or declaration	\$ -0-	_
CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE	\$	
Total claims		- 20 =		× \$ 50	\$ -0-	
Independent claims	·			x \$200	\$ -0-	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$ -0-	
TOTAL OF ABOVE CALCULATIONS =					<del>\$</del> -0-	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					<b>C</b>	
SUBTOTAL =					\$ 1.000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ -O-	
TOTAL NATIONAL FEE =					\$ 1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property					\$ 40.00	
TOTAL FEES ENCLOSED =					\$ 1,040.00	
					Amount to be refunded:	\$
		-			Amount to be charged	\$

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U.S. Patent and Trademark office; U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it displays a valid OMB control number

02/07/2006 CSMOOT 00000002 503010 10544151 Sale Ref: 00000002 DA#: 503010 10544151 01 FC:1617 130.00 DA

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a. A check in the amount of \$	to cover the above fees is enclosed.					
	O in the amount of \$ 1 , 0 4 0 , 0 to cover the above fees.					
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No: 50-3010A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should no be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.4 and granted to restore the International Application to pe	95 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must beending status.					
SEND ALL CORRESPONDENCE TO:	SIGNATURE					
	<u>Christopher E. Blank</u> NAME					
	31,237 REGISTRATION NUMBER					
	02/17/2006 BALUARAU					
	POR VALVE					
ent Date: 02/17/20 6032 6500	NOOT MILETAL DEPOSET ACCOUNTS   SO 30					



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## JC18 Rec'd PCT/PTO 0 1 AUG 2005

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DIRECT FAX 585.295.8440
CBLANK@HISCOCKBARCLAY.COM
ALSO ADMITTED IN: MICHIGAN

August 1, 2005

#### Via Express Mail ED 277134018 US

Attention PCT Legal Staff Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

International Application

PCT/US01/46344

No.

November 8, 2001

International Filing Date

November 8, 2000

**Priority Date Claimed** 

Francis X. Smith

U.S. Application No.

Unknown-

Attorney Docket Number

3009108 US01

Invention:

Applicant

IMPROVED OPHTHALMIC AND CONTACT LENS SOLUTIONS CONTAINING

SIMPLE SACCHARIDES AS PRESERVATIVE ENHANCERS

Applicant herewith submits to the United States Designated/Elected Office the following items and other information under 35 U.S.C. 371:

- > Petition for the Revival of an International Application for patent Designating the U.S. Abandoned Unintentionally Under 37 CFR 1.137(b) (2 Pages);
- > Transmittal Form Concerning A Submission Under 35 U.S.C. 371Utility Application Cover Sheet (3 Pages);
- > Substitute Specification and Claims (15 Pages);
- > Preliminary Amendment submitted in the International case 06/06/2002 (13 pages)
- > Preliminary Amendment to the claims (3 Pages)
- > Application Data Sheet (2 Pages);
- > Declaration and Power of Attorney (3 Pages);
- > Recordation Data Sheet (1 page);
- > Assignment (1 Page);
- > Acknowledgment Postcard.

Commissioner for Patents August 1, 2005 Page 2 Express Mail No.: ED 277134018 US

10/5441**51** 

### JC18 Rec'd PCT/PTO C 1 AUG 2005

The Commissioner is hereby authorized to charge the amount of \$2,540.00 (\$1,500.00 Petition for Revival of an International Application for patent Designating the U.S. Abandoned Unintentionally, \$300.00 Basic National fee, \$200.00 Examination Fee, \$500.00 Search Fee, and \$40.00 Assignment Recordation Fee). The Commissioner is hereby further authorized to charge any deficiencies or credit any excess payments to our Deposit Account No. **50-3010**.

Please date stamp and return the attached postcard to indicate your receipt of the application and direct all correspondence in connection with the application to the undersigned at the address noted above.

Very truly yours,

Christopher E. Blank Reg. No.: 31,237

Indrew H.

CEB:AHY: Enclosures

### CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

I herby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope address to Attention PCT Legal Staff, Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 1, 2005.

Transmittal Form Concerning A Submission Under 35 U.S.C. 371Utility Application Cover Sheet (3 Pages);

Petition for the Revival of an International Application for patent Designating the U.S. Abandoned Unintentionally Under 37 CFR 1.137(b) (2 Pages);

Application Data Sheet (2 Pages);

Declaration and Power of Attorney (3 Pages);

Substitute Specification and Claims (15 Pages);

Preliminary Amendment submitted in the International case 06/06/2002 (13 pages)

Preliminary Amendment to the claims (3 Pages)

Recordation Data Sheet (1 page);

Assignment (1 Page);

Acknowledgment Postcard.



**PATENT** JC18 Rec'd PCT/PT 90337.140101

#### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:	Bio-Concept Laboratories )	Examiner: Unknown
PCT International Application No.:	PCT/US01/46344 )	
International Filing Date:	8 November 2001 )	Art Unit: Unknown
For:	IMPROVED OPHTHALMIC AND () CONTACT LENS SOLUTIONS () CONTAINING SIMPLE SACCHARIDES () AS PRESERVATIVE ENHANCERS ()	

#### **EXPRESS MAIL CERTIFICATE**

Commissioner of Patents Washington, DC 20231

**Box: PCT** 

Dear Sir:

Certificate is attached to the Preliminary Amendment (26 pgs) in connection with the above-named PCT application.

"EXPRESS MAIL" Label Number	EV097437729US
DATE OF DEPOSIT	6 June 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Box: PCT, Assistant Commissioner for Patents, Washington, DC 20231.

> William M. Hall (Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or

fee)

10/544**151** JC18 Rec'd PCT/PTO 01 AUG 2005

# Improved Ophthalmic and Contact Lens Solutions Containing Simple Saccharides as Preservative Enhancers

**Inventors** 

Francis Xavier Smith